

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

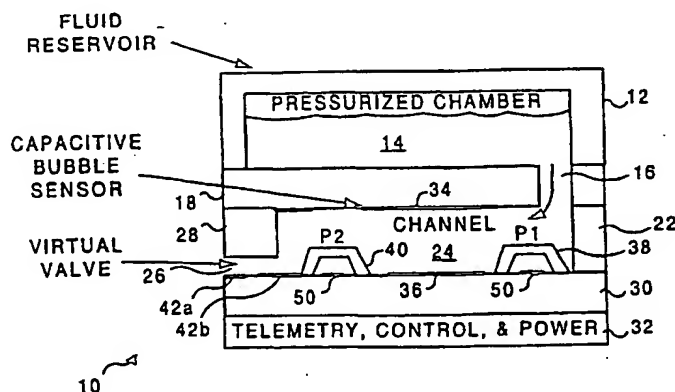




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>A61M 37/00, 5/168, 5/142, A61B 5/00, 5/07</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/61215</b> <b>(43) International Publication Date:</b> 19 October 2000 (19.10.00)
<b>(21) International Application Number:</b> PCT/US00/08915 <b>(22) International Filing Date:</b> 4 April 2000 (04.04.00)  <b>(30) Priority Data:</b> 09/288,762      8 April 1999 (08.04.99)      US  <b>(71) Applicant:</b> ABBOTT LABORATORIES [US/US]; D377/AP6D, 100 Abbott Park Road, Abbott Park, IL 60064-6050 (US).  <b>(72) Inventors:</b> CHO, Steven, T.; 1550 Vista Club Circle, #301, Santa Clara, CA 95054 (US). CHRISTIANSON, Harlow, B.; 6256 Hopi Circle, San Jose, CA 95123 (US).  <b>(74) Agents:</b> MARCUS, Neal, D. et al.; D377/AP6D, 100 Abbott Park Road, Abbott Park, IL 60064-6050 (US).		<b>(81) Designated States:</b> AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** MONOLITHIC HIGH PERFORMANCE MINIATURE FLOW CONTROL UNIT

**(57) Abstract**

A monolithic flow controller (10) for controlling the rate at which a medicinal liquid (14) is administered to a patient. The monolithic flow controller (10) includes one or more virtual valves (26) that, because of their relatively small opening size (less than 0.5  $\mu\text{m}$  in diameter), only permit fluid to flow through the valve (26) when a forward bias voltage is applied. If a reverse bias voltage or no voltage is applied, fluid flow through the opening is inhibited. The fluid rate through the device (10) is monitored using two pressure sensors (38, 40) or a differential pressure sensor that determine the differential pressure along the flow path through the device or relative to the external ambient pressure. The flow through the device is equal to the product of the differential pressure and the conductance of the channel (24) in the flow controller. A capacitive bubble sensor (34, 36) is optionally provided to detect bubbles in the medicinal liquid being administered to the patient. The flow controller (10) can be made sufficiently small to be injected into a patient's cardiovascular system or other portion of the patient's body through a hypodermic syringe and needle, or it can be implanted in the patient's body at a desired site. The medicinal liquid (14) can be contained within an integral pressurized fluid reservoir (12), administered by gravity feed, or conveyed by a pump to an inlet port (16) of the flow controller (10).

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**MONOLITHIC HIGH PERFORMANCE MINIATURE FLOW CONTROL UNIT****Field of the Invention**

The present invention generally relates to a flow control that includes a flow sensor, and more specifically, to a micro/miniature flow control in which an electric potential is employed to control fluid flow through the device.

**Background of the Invention**

Fluid control in portable and implantable medical devices typically requires techniques be employed that are uniquely suited to micro/miniature fluid circuits. For example, conventional mechanical or electro-mechanical valves are too large and often too slow to used in such applications. Other types of fluid valves require more space than is available in micro/miniature fluid circuits. Examples of mechanical valves and some of their characteristics and limitations are: shape memory alloy actuated valves (actuated by changes in temperature, but subject to fatigue failure), thermopneumatically actuated valves (typically electrochemically activated – may require several minutes to respond and are temperature sensitive), bi-morph (Al/Si) (reliability problems and typically capable of less than 1 mm stroke), Ni-Si based valves (thermally activated and typically capable of less than 1 mm stroke), mini-solenoid actuated valves (good reliability and relatively small stroke), and electrostatic valves (very reliable and characterized by short actuation distance). The various types of mechanical valves listed above require an area of at least 4 mm x 4 mm, which is much more than is generally available in a micro/miniature fluid circuit. While micromechanical valves are available that are smaller than the conventional mechanical valves discussed above, such valves are typically designed to control gas flow by moving a membrane over an orifice and are generally not suitable for controlling the flow of a liquid.

A more suitable type of valve for micro/miniature fluid circuit applications, because it requires much less area to operate, is sometimes referred to as a “virtual valve.” Traditional valves have moving components that regulate flow. A virtual valve has the same characteristics of a mechanical valve, except that there are no moving parts in a virtual valve. Virtual valves take advantage of microfluidic characteristics such as surface tension or pressure gradients to regulate fluid flow. Some virtual valves employ an externally applied pressure to move fluid. Pressure balanced virtual valves may also employ external pneumatic pressure or convert kinetic energy to pressure, but tend to be dependent upon channel shape. Bubble valves are another type of virtual valve that are designed to generate bubbles to block fluid flow by creating temperature gradients. Pressure balanced virtual valves serve the function of dual check valves in pumping circuits and may comprise pairs of tapered channels (with the tapers directed in opposite directions) that tend to permit fluid flow in one direction, but not the other. Although

pressure balanced valves have an advantage because they do not require moving parts, they are not leak free, and fluid flow is usually not symmetric through the pairs of tapered channels.

5 It may be necessary to monitor fluid flow in a microfluid circuit. Often, due to the small size of the passages in such devices, the rate of fluid flow is too low to be measured by conventional flow sensors. For example, a thermal flow sensor does not have sufficient sensitivity to monitor flow rates less than 1.0 ml/hr. In some applications, the rate of flow is measured in  $\mu\text{l/hr.}$ , which is far below the range of mechanical flow sensors. The typical full-scale range for a micro/miniature flow sensor is three orders of magnitude  
10 higher than the required accuracy. Most flow sensors currently used for such applications are of the thermal sensor type in which the temperature is measured around a heated element to determine the rate of fluid flow as a function of heat dissipated in the fluid flowing past the element. Another thermal flow measurement technique applies heat pulses to an element disposed in a fluid channel; the phase shift of the first harmonic of the  
15 temperature pulses is inversely proportional to the flow velocity of fluid past the element. Pressure based flow sensors apply the Bernoulli principle and use capacitive or resistive elements, drag force sensors, anemometers, acoustic Doppler sensors, and Coriolis sensors. Each type of flow sensor has desirable virtues, but most are not suitable for monitoring low fluid flow in micro/miniature fluid circuits, either because of their lack of  
20 sensitivity, slow response time, excessive size, or because they require excessive power.

Bubble sensors are also often required in medical infusion pumps to monitor the quality of liquids being infused into a patient. The techniques typically used for sensing bubbles in a fluid stream detect the bubbles by sensing changes in acoustic signals propagated through the liquid, changes in a permittivity measured across the liquid stream,  
25 variations in an optical light path, or changes in the output of a hydrophone sensor. Not all of these techniques are particularly applicable to micro/miniature fluid circuits because of size limitations. For example, the piezoelectric transducers used for generating and receiving sound waves transmitted through a fluid stream are not readily produced in micro/miniature size. Sensing bubbles by their effect on light passing through a fluid  
30 stream requires little power and has a fast response time, but may not work well if the liquid is opaque. Hydrophones are generally too large and require too much complexity in the required supporting electronics to be practical for detecting bubbles in micro/miniature fluid circuits. Capacitive bubble sensors are relatively simple, comprising two spaced-apart metal plates disposed on opposite sides of a liquid path in the fluid circuit,  
35 for sensing changes in permittivity occurring when a bubble passes between the plates.

Applications for micro-miniature fluid control circuits include medical apparatus, such as implantable liquid metering infusion systems and pump cassettes, for

administering drugs and other medicinal fluids. Such fluid control circuits are also usable in gravity fed tube sets for infusing liquids into a patient's cardiovascular system. The size of portable devices of this type that are self-contained (i.e., not coupled to an external fluid source) is generally a function of the size of the fluid reservoir that is required. For example, an infusion pump the size of a conventional electronic pager will likely have a reservoir of about 5-20 ml. If the pump is the size of a man's wrist watch, its reservoir will hold about 5 ml. A pump the size of a nickel will have a reservoir holding about 1-2 ml. Implantable pump devices or those introduced orally or by injection through a syringe will be correspondingly smaller and only able to administer substantially smaller quantities of a liquid.

Several techniques can be used to provide a positive actuation for pumping a liquid or for producing other actions involving the application of force in a micro/miniature fluid circuit. These techniques typically rely on either thermal actuation, electrostatic actuation, or magnetic actuation, but tend to have drawbacks because they either require high power (greater than 100 mW), or a relatively high voltage (greater than 30 volts) to operate. Thermal actuation can achieve a phase change in a material such as a shape memory alloy or change the length of a member due to thermal expansion/contraction. Resistive heating can be employed to produce the temperature change. Electrostatic, electrohydrodynamic, or electro-osmosis forces can be generated by applying a voltage differential to materials. For example, if one material is a membrane, a bridging member, or a cantilever, the electrostatic bias will cause the member to move relative to an opposite member to which the bias voltage is applied. In pumps employing electrohydrodynamics, fluid is moved under the influence of an electric field. Up to 1000 volts may be required to energize electrostatic and electrohydrodynamic actuators, and the conductivity of the fluid may preclude the use of electrohydrodynamics.

Piezoelectric actuators offer another possible option, but may be limited by difficulties arising in transferring the technology from ceramics to thin films like those typically used in micro/miniature fluid circuits. Magnetic actuators typically require an electromagnetic coil and may also require a permanent magnet, which can be difficult to form in a micro/miniature fluid circuit.

As will be evident from the above discussion, currently available technology is not well suited for use in fabricating valves, flow sensors, bubble sensors, and actuators in micro/miniature fluid circuits. Accordingly, it will be apparent that a new approach is needed to achieve these functions if such fluid circuits are to be successfully commercially developed for medical and other applications.

### Summary of the Invention

In accord with the present invention, a monolithic fluid flow control structure is defined that includes a fluid channel extending through the fluid flow control structure between an inlet port and an outlet port. The inlet port is adapted to couple in fluid communication with a fluid reservoir from which fluid is supplied to the inlet port. A virtual valve is disposed in the fluid channel, upstream of the outlet port. The virtual valve controls fluid flow through the outlet port in response to a valve control signal applied to the virtual valve. A first pressure sensor and a second pressure sensor are included, and at least one is disposed within the fluid channel, between the inlet port and the outlet port. The first and second pressure sensor respectively produce first and second pressure signals that are employed in sensing fluid flow through the fluid flow control structure as a function of the differential pressure.

A bubble sensor is preferably provided and includes a first plate and a second plate disposed on opposite sides of the fluid channel. The first and second plates sense bubbles in a fluid flowing through the fluid channel as a function of a change in capacitance or permittivity between the plates.

Both the first pressure sensor and the second pressure sensor are preferably disposed within the fluid channel. Alternatively, the second pressure sensor is disposed downstream of the outlet port, and the first and the second pressure sensors comprise a differential pressure transducer that senses a differential between a pressure within the fluid channel and a pressure downstream of the outlet port.

The virtual valve includes at least one passage having a transverse cross-sectional dimension that is less than 5  $\mu\text{m}$ . A voltage is applied to the virtual valve to bias or counter bias fluid flow through the virtual valve.

In the monolithic fluid flow control structure, the fluid channel is formed of a biologically inert material, and preferably, in a slab of silicon. In one form of the invention, the monolithic fluid control structure is sufficiently compact in size to be injected into a patient's body through a hypodermic syringe.

Another aspect of the present invention is directed to a method for controlling and monitoring fluid flow in a micro/miniature device. The steps of the method are generally consistent with the functions implemented by the elements of the monolithic fluid flow control structure discussed above.

### Brief Description of the Drawing Figures

The foregoing aspects and many of the attendant advantages of this invention will become more readily appreciated as the same becomes better understood by reference to the following detailed description, when taken in conjunction with the accompanying drawings, wherein:



FIGURE 1 is a schematic cross-sectional view of a monolithic fluid flow control unit sized to be injectable or implanted within a patient's body;

FIGURE 2 is a schematic plan cross-sectional view of a capacitive pressure sensor;

FIGURE 3 is a schematic elevation cross-sectional view of the capacitive pressure sensor of FIGURE 2;

FIGURE 4 is a schematic elevation cross-sectional view of a different embodiment of the monolithic fluid flow control unit;

FIGURE 5 is a schematic plan view of the monolithic fluid flow control unit of FIGURE 4;

FIGURE 6 is a schematic isometric view of the embodiment shown in FIGURES 4 and 5;

FIGURE 7 is a schematic elevation cross-sectional view of a different embodiment of the monolithic fluid flow control unit;

FIGURE 8 is a schematic elevation cross-sectional view of yet another embodiment of the monolithic fluid flow control unit;

FIGURE 9 is a schematic cross-sectional view of a portion of a patient's vascular system and a hypodermic syringe, illustrating the injection of the monolithic fluid flow control unit of FIGURE 1;

FIGURE 10 is a schematic block diagram of components of the embodiment shown in FIGURE 1; and

FIGURE 11 is a schematic block diagram of components of any embodiment of the monolithic fluid flow control unit that is used outside a patient's body.

#### **Description of the Preferred Embodiment**

FIGURE 1 illustrates a small, monolithic (fluid) flow controller 10 that is intended for administering a medicinal liquid 14. A reservoir 12 contains a small volume of the medicinal liquid and is slightly pressurized to provide a positive force used to administer the medicinal fluid to a patient after flow controller 10 is activated to enable the flow of the liquid through the device. Preferably, flow controller 10 and reservoir 12 are sized so that the area of either side, either end, or the top or bottom of the overall structure is less than  $100 \text{ mm}^2$ . It will be appreciated that because flow controller 10 and reservoir 12 are fabricated as a monolithic structure, their overall size can readily be scaled to achieve a maximum dimension of less than 1.0 mm. An exemplary application for such a micro fluid flow control is discussed below.

Reservoir 12 is preferably formed of glass, ceramic, or other biologically compatible substances and is attached to the outer surface of a slab 18. An inlet port 16 extends through slab 18 from the interior of reservoir 12 into a channel 24 that is inside the flow controller. Slab 18 is also preferably glass, ceramic, or some other biologically inert

material. Channel 24 is defined on three sides by silicon walls 22 and by a silicon block 28, which is disposed at the end of channel 24. A slab 30 formed of glass, ceramic, or other suitable biologically inert material forms the base of channel 24. A telemetry, control, and power block 32 is disposed below slab 30. Details of the telemetry, control, and power block are discussed below.

Between block 28 and slab 30 are a plurality of virtual valves 26. To control the flow of the medicinal liquid through each virtual valve, a biasing potential is applied across electrodes 42a and 42b. This biasing potential is preferably 10 volts or less. The size of the opening of each virtual valve 26 is less than 5  $\mu\text{m}$ . For an opening of this size, the entry resistance is sufficiently large to prevent liquid flow through the opening unless a forward biasing voltage is applied across electrodes 42a and 42b. When a zero bias or a reverse bias is applied, liquid flow through the virtual valve is stopped. However, a forward bias voltage applied to electrodes 42a and 42b overcomes the entry resistance of the openings, enabling the medicinal liquid to flow through the virtual valve. The plurality of virtual valves 26 thus comprise an output port for the flow controller. The magnitude of the forward bias voltage that is applied to the electrodes of the virtual valves controls the rate of flow of medicinal liquid through the device. The forward biasing voltage reduces surface tension of the liquid, and that an electro-osmotic force is developed by the biasing voltage that induces flow through the virtual valve.

Flow controller 10 also preferably includes a pressure sensor 38 and a pressure sensor 40 disposed at two spaced-apart points along channel 24. Pressure sensor 38 senses the pressure in channel 24 immediately adjacent inlet port 16, while pressure sensor 40 senses the pressure in the channel immediately adjacent virtual valves 26. The differential pressure drop between pressure sensor 38 and pressure sensor 40 is used to determine the rate of fluid flow through the flow controller, since the rate of flow through channel 24 is equal to the product of the differential pressure  $\Delta p$  and the channel conductance  $C$  (i.e.,  $\text{rate of flow} = \Delta p \times C$ ).

On the undersurface of slab 18 in channel 24 is disposed an electrode 34. Immediately opposite electrode 34, on the opposite side of channel 24 and on the upper surface of slab 30 is disposed an electrode 36. Electrodes 34 and 36 are employed to sense variations in capacitance or permittivity of the medicinal liquid flowing through channel 24, in order to detect bubbles in the liquid. As bubbles pass between electrodes 34 and 36, the capacitance increases and the permittivity decreases. Thus, in response to changes in the permittivity or capacitance, the presence of bubbles within the medicinal liquid are readily detected. When bubbles of sufficient size/density are detected to pose a potential health risk if injected into a patient's bloodstream, virtual valves 26 can

be closed with a reverse bias (or zero bias voltage) supplied by telemetry, control, and power block 32.

Details of pressure sensors 38 or 40 are illustrated in FIGURES 2 and 3. A silicon dome 54 hermetically encloses an electrode 50 formed on the upper surface of slab 30. An electrically insulating dielectric polymer layer (not shown) is applied over a conductive trace 52 that extends from electrode 50 outwardly and beyond silicon dome 54. A second conductive trace 56 is electrically in contact with silicon dome 54 so that a capacitance exists between silicon dome 54 and electrode 50. The dielectric polymer layer applied over conductive trace 52 prevents it from electrically shorting to the silicon dome. Silicon dome 54 deflects toward electrode 50 in response to the pressure outside the dome. The deflection of the dome relative to electrode 50 changes the capacitance between the two. Thus, the capacitance between the silicon dome and electrode 50 is indicative of the pressure applied to the silicon dome by the medicinal liquid in channel 24 relative to the pressure inside the silicon dome.

With reference to FIGURES 4 and 6, a flow controller 10' is illustrated that does not include an integral fluid reservoir. Instead, inlet port 16 is coupled through a tube or otherwise is in fluid communication with a separate fluid reservoir (not shown). However, in all other respects, flow controller 10' is identical to flow controller 10, as discussed above.

FIGURE 5 illustrates further details of virtual valves 26. By increasing the number of virtual valves 26 formed in silicon block 28 as illustrated in FIGURE 5, the total volume of flow through either flow controller 10 or 10' can be increased, compared to that possible through few virtual valve outlets. To function as a virtual valve, the cross-sectional area of each virtual valve outlet comprising virtual valves 26 must be sufficiently small to provide the restriction that prevents free flow through the virtual valve until a forward biasing voltage is applied to electrodes 42a and 42b. If less maximum flow is required, fewer virtual valves can be employed. Also, it is contemplated that the virtual valves can be selectively independently controlled to vary fluid flow through the device over a wider range or with greater resolution.

A slightly different approach is used for monitoring fluid flow rate through the embodiment of a flow controller 10" illustrated in FIGURE 7. Although flow controller 10" is shown without an integral fluid reservoir, it will be appreciated that such a reservoir can be provided, e.g., like that shown in FIGURE 1. Flow controller 10" differs from flow controller 10' because it does not include two separate pressure sensors, but instead, senses the differential pressure between the medicinal fluid in channel 24 and the fluid pressure in the external environment. A differential pressure sensor 44 enables this differential pressure measurement to be made. Differential pressure sensor 44 is

disposed in the same relative position as pressure sensor 40 in flow controllers 10 and 10'. A port 46 extends through slab 30 into the interior of pressure sensor 44 providing fluid communication between the external environment and the interior of the pressure sensor so that the deflection of the pressure sensor dome due to the pressure of fluid within channel 24 represents a differential pressure equal to the difference of pressure P1, which is inside channel 24, and P2, which is the pressure in the external environment. The product of the differential pressure and the conductance of channel 24 at pressure sensor 44 indicates the rate of flow of medicinal fluid through the channel. In all other respects, flow controller 10" is identical to flow controller 10'. Like flow controller 10', flow controller 10" also preferably includes a plurality of virtual valves 26 for controlling the rate of fluid flow through the device in response to the forward biasing voltage applied to electrodes 42a and 42b.

In FIGURE 8, a flow controller 10''' is illustrated that is substantially identical to flow controller 10' with the exception that it includes a Luer fitting 62 mounted with a suitable adhesive 68 to inlet port 16. Luer fitting 62 includes a connection flange 60 for coupling to a conventional male Luer fitting (not shown) provided on a tubing that is connected to a fluid reservoir or other source of medicinal fluid (none shown). Similarly, a Luer fitting 66 is secured with an adhesive 68 to the outlet of flow controller 10''' and includes a fitting 64 for coupling to a conventional male Luer connector. Although not shown in FIGURE 8, either pressure sensors 38 and 40 can be included for monitoring fluid flow rate as a function of pressure, or differential pressure sensor 44 can be included within channel 24 for this purpose. Also, electrodes (like electrodes 34 and 36) can be provided within channel 24 for monitoring the capacitance or permittivity of the medicinal liquid to detect any bubbles flowing through the channel. Alternatively, the pressure sensors and bubble sensors can be omitted from flow controller 10''', while virtual valves 26 are included to control the rate of fluid flow through the flow controller. Electrodes 42a and 42b are not shown in FIGURE 8, but would be disposed within the device in a manner similar to that described above in connection with the other embodiments of the present invention. Flow controller 10''' is likely to be used externally of a patient's body for controlling fluid flow from a pump, or from a gravity fed fluid reservoir into a patient's body. In contrast with flow controllers 10, 10', and 10'', flow controller 10''' is likely to be substantially larger to facilitate attachment of Luer fittings 62 and 66.

As shown in FIGURE 9, flow controller 10 is made sufficiently small so that it can be injected into a patient's blood vessel 82 through a hypodermic needle 90. Needle 90 is connected to a syringe 92 and is inserted through a dermal layer 88 and through a wall 84 of vessel 82. The flow controller is carried in a sterile fluid and is forced through

needle 90 from syringe 92 into blood stream 86, which carries the device to a desired site in the body where the medicinal fluid within the integral reservoir of the device is administered to the patient.

5 Details of the circuitry or telemetry and control of flow controller 10 are illustrated in FIGURE 10. As shown in this Figure, an external control 100 produces (and optionally receives) a radio signal that is received by (or transmitted from) a transceiver 102 within  
10 telemetry, control, and power block 32. Transceiver 102 can receive or transmit a simple pulse code modulated PCM signal or other modulated signal and is powered by a thin film battery supply 104, using relatively little current. Since external control 100 is preferably  
15 disposed immediately outside the patient's body, it can readily transmit radio signals to transceiver 102 and can receive relatively weak radio signals from the transceiver. In response to signals received from external control 100 by transceiver 102, a control circuit 106 controls the virtual valve in flow controller 10 to enable fluid flow and to control the rate of which fluid flows from the flow controller. If no data are transmitted to  
20 the external control by the injected or implanted device, only a receiver is required on the device. Any interruption in the delivery of the specified rate of fluid flow from flow controller 10 can be detected by control circuitry 106, which causes transceiver 102 to transmit a state signal to external control 100. For example, if bubbles are sensed in the medicinal liquid being administered to the patient by flow controller 10 causing it to stop  
25 administering the medicinal liquid, the signal transmitted to external control 100 indicates the problem, enabling medical personnel to take remedial action. Such remedial action may simply involve the insertion of another flow controller 10 within the cardiovascular system of the patient. Control circuitry 106 can also detect when all of the fluid contained within pressurized fluid reservoir 12 has been administered to the patient, and such  
30 information can be transmitted to external control 100 by transceiver 102. It is contemplated that external control 100 can be used to remotely control any embodiment of the flow controller disclosed above and to receive data from any embodiment (so long as the flow controller includes a transceiver (or receiver), and control circuitry), regardless of whether the flow controller is implanted, injected, or is used externally.

35 In FIGURE 11, control and power circuit 32' is illustrated for use in connection with flow controller 10 or 10' when it is not necessary to provide for remote control and/or readout of telemetry data. In this embodiment, fluid reservoir 12, or optionally, gravity flow or an external fluid pump provides the source of fluid administered through flow controller 10 or 10'. A battery power supply 110 provides the power to energize control circuitry 106 and to drive the optional pump – if used. In addition, an optional display 112 may be coupled to the control circuitry to indicate the rate of flow and the status of the administration of medicinal fluid to the patient through the flow controller. Optional

display 112 may include a liquid crystal display or other suitable electronic display, details of which are not shown. The flow controller used with control and power circuit 32' is likely to be substantially larger than that in the embodiment of FIGURE 9. Accordingly, it will be more suitable for use externally of the patient's body. It should also be noted that  
5 flow controller 10'" can be employed in place of flow controller 10 or 10' in this embodiment.

Although the present invention has been described in connection with the preferred form of practicing it, those of ordinary skill in the art will understand that many modifications can be made thereto within the scope of the claims that follow.  
10 Accordingly, it is not intended that the scope of the invention in any way be limited by the above description, but instead be determined entirely by reference to the claims that follow.

The invention in which an exclusive right is claimed is defined by the following:

1. A monolithic fluid flow control structure comprising:
  - (a) a fluid channel extending through the fluid flow control structure between an inlet port and an outlet port, said inlet port being adapted to couple in fluid communication with a fluid reservoir from which fluid is supplied to the inlet port;
  - (b) a virtual valve disposed in the fluid channel, upstream of the outlet port, said virtual valve controlling fluid flow through the outlet port in response to a valve control signal applied to the virtual valve; and
  - (c) a first pressure sensor and a second pressure sensor, at least one of which is disposed within the fluid channel, between the inlet port and the outlet port, said first and second pressure sensor producing first and second pressure signals that are employed in sensing fluid flow through said fluid flow control structure as a function of a differential pressure.
2. The monolithic fluid flow control structure of Claim 1, further comprising a bubble sensor that includes a first plate and a second plate disposed on opposite sides of the fluid channel, said first and second plates sensing bubbles in a fluid flowing through the fluid channel as a function of a change in permittivity between the first and the second plates.
3. The monolithic fluid flow control structure of Claim 1, wherein both the first pressure sensor and the second pressure sensor are disposed within the fluid channel.
4. The monolithic fluid flow control structure of Claim 1, wherein the second pressure sensor is disposed downstream of the outlet port.
5. The monolithic fluid flow control structure of Claim 1, wherein the first and the second pressure sensors comprise a differential pressure transducer that senses a differential between a pressure within the fluid channel and a pressure downstream of the outlet port.
6. The monolithic fluid flow control structure of Claim 1, wherein the virtual valve comprises at least one passage having a transverse cross-sectional dimension that is less than 5  $\mu\text{m}$ .
7. The monolithic fluid flow control structure of Claim 1, wherein the valve control signal comprises a voltage applied to the virtual valve to bias or counter bias fluid flow through the virtual valve.

8. The monolithic fluid flow control structure of Claim 1, wherein the fluid channel is formed in a slab of silicon.

9. The monolithic fluid flow control structure of Claim 1, wherein the monolithic fluid control structure is sufficiently compact in size to be implanted into a patient's body through a hypodermic syringe.

10. A monolithic fluid flow control for controlling a flow of a medicinal fluid into a patient's body, comprising:

(a) a pair of slabs between which a fluid path is defined that extends between an inlet port and an outlet port, said inlet port being adapted to couple in fluid communication with a source of the medicinal fluid, to receive the medicinal fluid through the inlet port;

(b) flow sensing means for sensing a flow rate of the medicinal fluid along the fluid path as a function of a fluid pressure within the fluid path; and

(c) valve means for controlling a flow of the medicinal fluid through the fluid path in response to a fluid flow control signal.

11. The monolithic fluid flow control of Claim 10, wherein the flow sensing means comprise one of a pair of pressure sensors spaced apart along the flow path for producing a pressure sensor signal indicative of the flow of the medicinal fluid through the flow path.

12. The monolithic fluid flow control of Claim 11, wherein the flow sensing means comprise a differential pressure sensor that senses a difference between a pressure in the flow path and a pressure downstream of the outlet port.

13. The monolithic fluid flow control of Claim 11, further comprising a bubble sensor that detects bubbles within the flow path.

14. The monolithic fluid flow control of Claim 13, wherein the bubble sensor includes a pair of conductive plates disposed on opposite side of the flow path, said pair of conductive plates being capacitively coupled to each other so that a varying permittivity occurs as bubbles conveyed by the medicinal fluid pass through the flow path between the pair of conductive plates.

15. The monolithic fluid flow control of Claim 10, wherein the valve means comprise a plurality of orifices having a transverse dimension that is less than 5  $\mu\text{m}$ , a biasing voltage being applied to said plurality of orifices to control fluid flow through the flow path.



16. The monolithic fluid flow control of Claim 10, wherein the inlet port extends through a surface of one of the slabs.

17. The monolithic fluid flow control of Claim 10, wherein the pair of slabs are formed of a biologically compatible material.

5 18. A method for controlling and monitoring fluid flow in a micro/miniature device, comprising the steps of:

(a) controlling fluid flow through the micro/miniature device in response to a fluid flow control signal applied to a virtual valve disposed within said device;

10 (b) sensing pressure at least at one point in a flow path of a fluid flowing through the micro/miniature device; and

(c) determining fluid flow through the micro/miniature device as a function of the pressure sensed at least at said one point.

15 19. The method of Claim 18, wherein the fluid flow control signal comprises a voltage that biases the virtual valve to induce the fluid to flow through the virtual valve for a predefined polarity of the voltage.

20. The method of Claim 19, wherein one of an opposite polarity and a zero voltage of the fluid flow control signal inhibits fluid flow through the virtual valve.

21. A monolithic fluid flow control structure comprising:

(a) a fluid channel extending through the fluid flow control structure between an inlet port and an outlet port, said inlet port being adapted to couple in fluid communication with a fluid reservoir from which fluid is supplied to the inlet port;

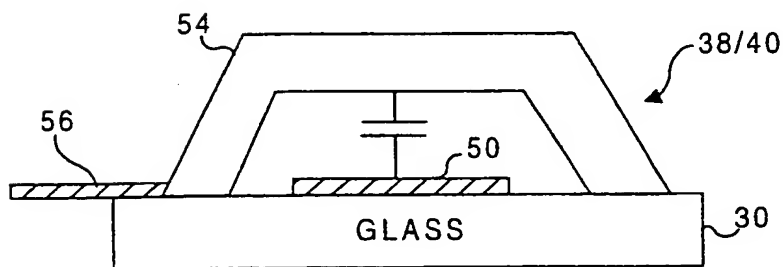
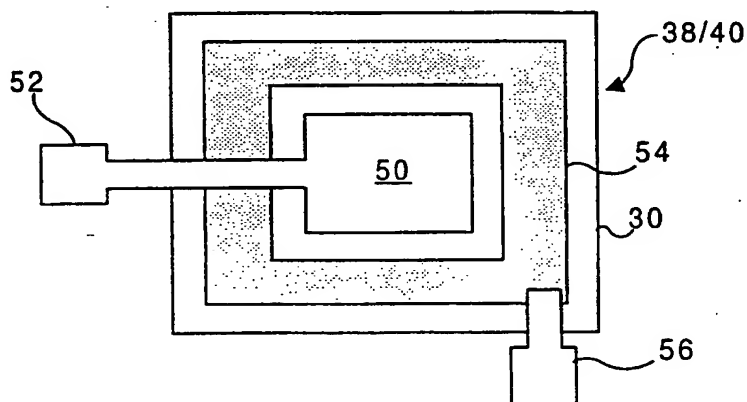
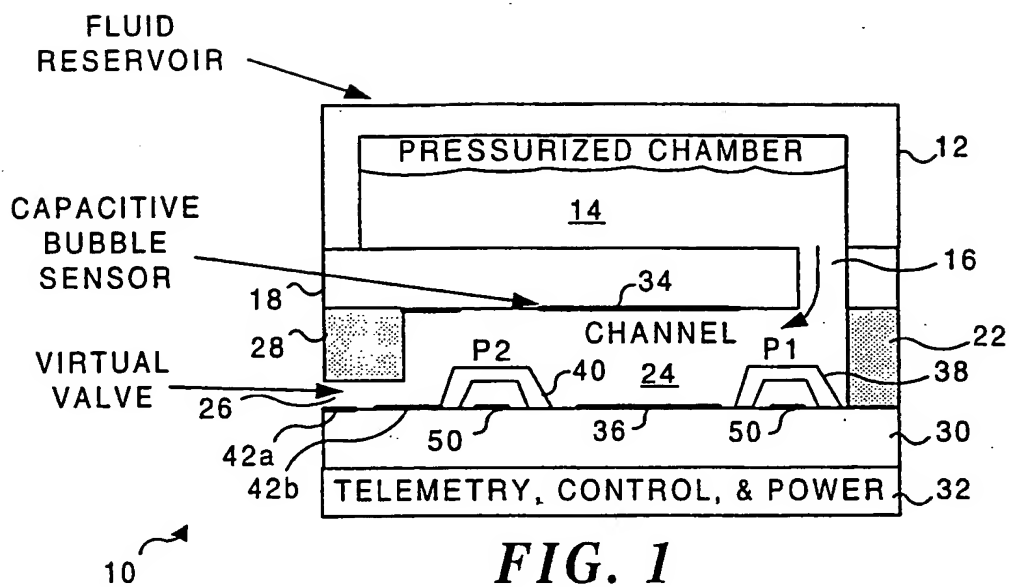
(b) a valve for controlling fluid flow through the fluid channel as a function of a fluid pressure within the fluid channel; and

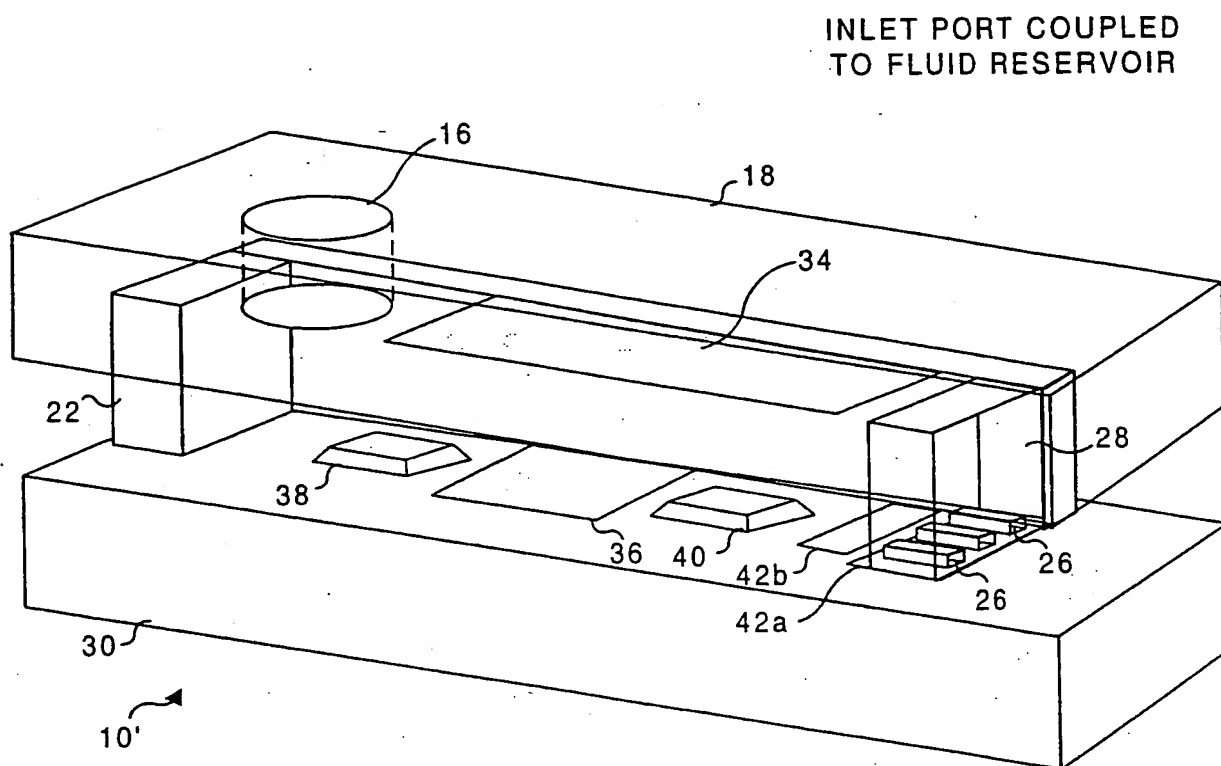
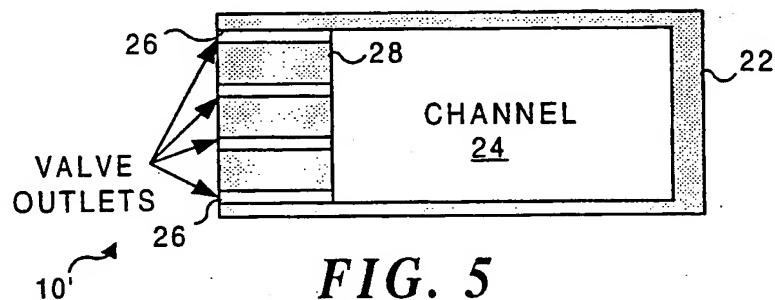
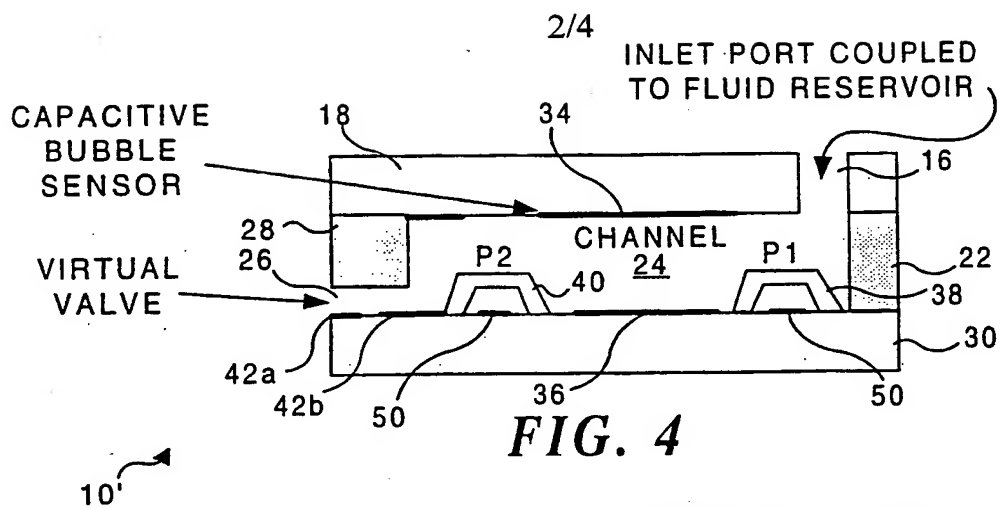
(c) flow sensing structure for sensing a flow rate of the fluid in the fluid channel as a function of a fluid pressure within the fluid channel.

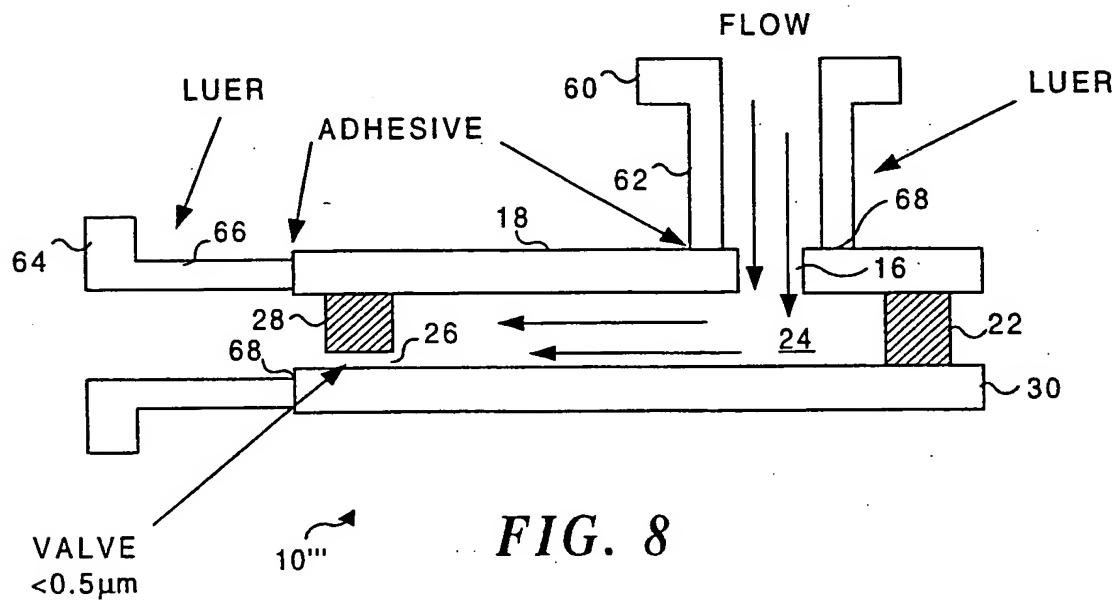
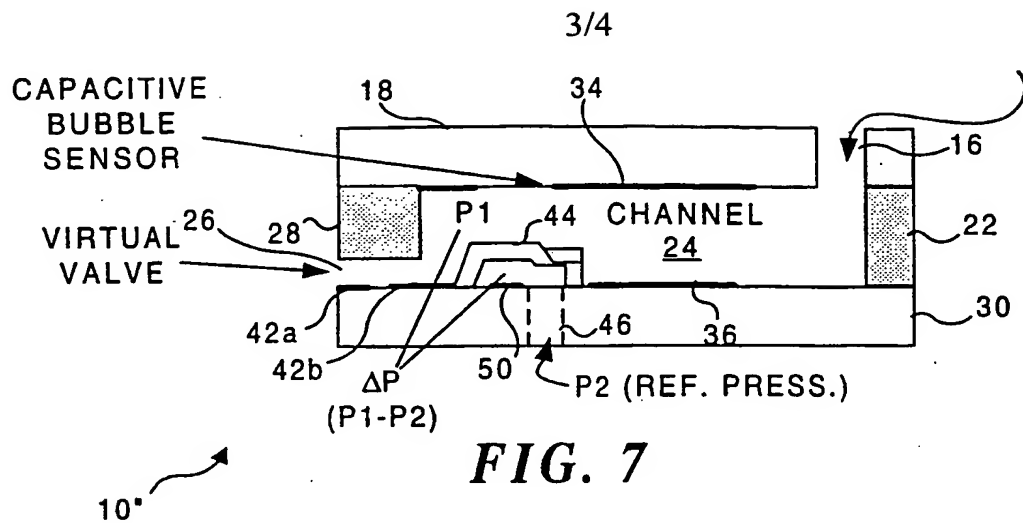
22. The monolithic fluid flow control structure of Claim 21, wherein the flow sensing structure comprises one of a pair of pressure sensors spaced apart in the flow channel for producing a pressure sensor signal indicative of the flow of the medicinal fluid through the flow channel.

23. The monolithic fluid flow control structure of Claim 21 wherein the flow sensing structure comprises a pressure sensor that senses a difference between a pressure within the fluid channel and a pressure outside of said fluid channel.

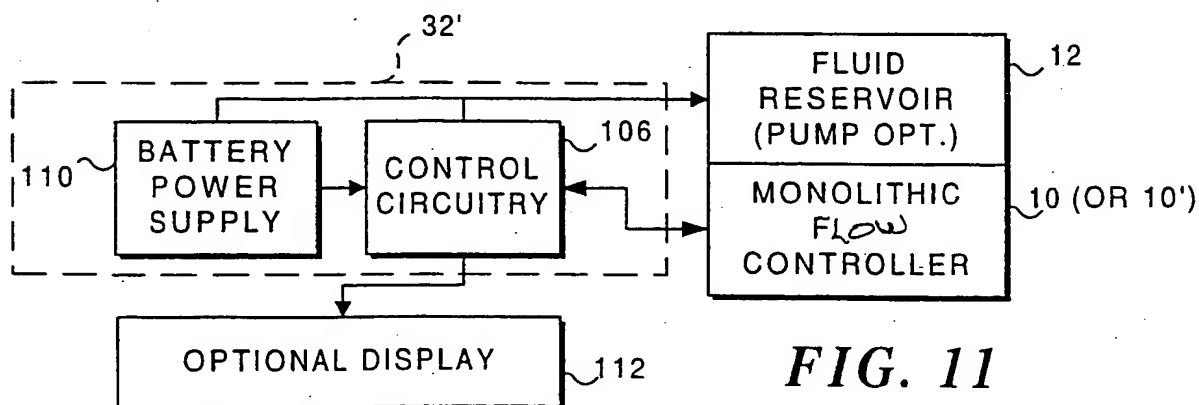
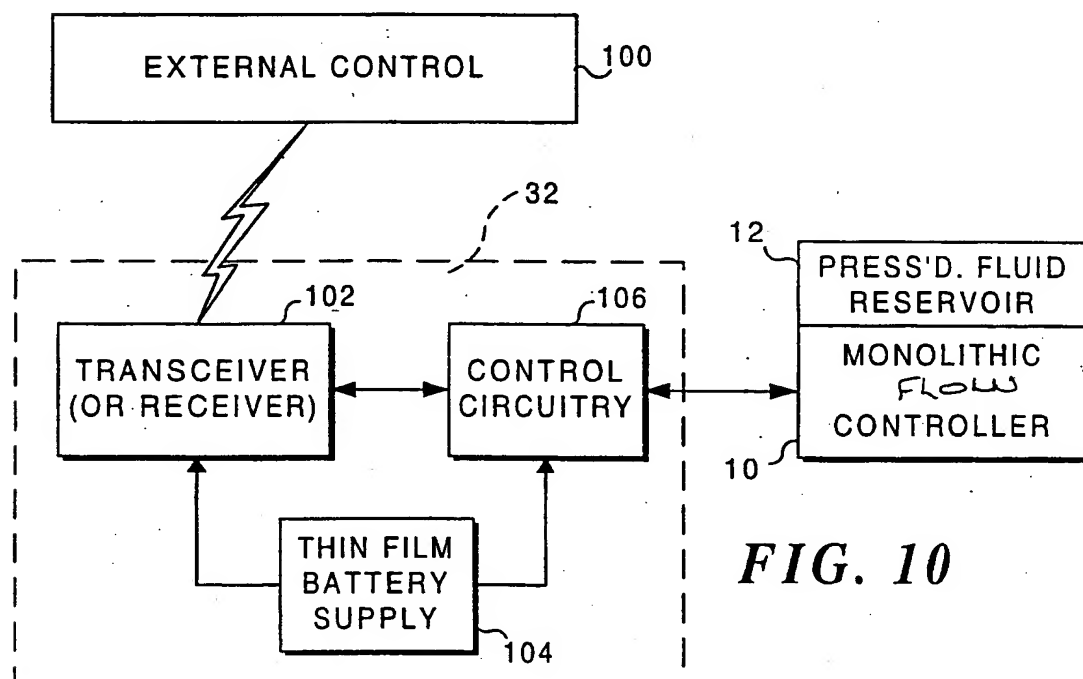
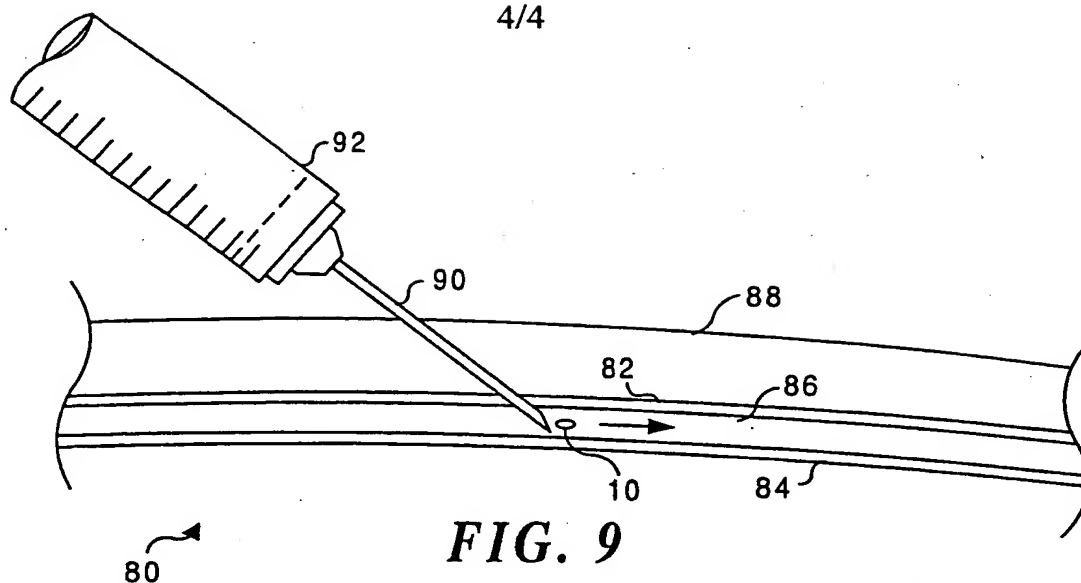
1/4







4/4



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/08915

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61M37/00 A61M5/168 A61M5/142 A61B5/00 A61B5/07

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61M A61B F04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 897 690 A (UNIV LEIDEN) 24 February 1999 (1999-02-24) abstract paragraphs '0025!-'0038!; figures 1-5 ---	1,10,18, 21
A	US 5 513 636 A (PALTI YORAM) 7 May 1996 (1996-05-07) abstract column 2, line 52 -column 6, line 17; figures 1-3 ---	1,10,18, 21
A	US 5 279 607 A (SCHENTAG JEROME J ET AL) 18 January 1994 (1994-01-18) abstract ---	1,10,18, 21
A	US 4 793 825 A (BENJAMIN JOHN D ET AL) 27 December 1988 (1988-12-27) abstract ---	1,10,18, 21
	--- -/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

14 July 2000

Date of mailing of the international search report

28/07/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Nielsen, M

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/08915

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 417 663 A (SLETTENMARK BRUNO) 23 May 1995 (1995-05-23) column 5, line 65-68; figure 1 ----	1,10,18, 21
A	US 5 876 675 A (KENNEDY COLIN B) 2 March 1999 (1999-03-02) column 6, line 44 -column 7, line 18 -----	1,10,18, 21



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/08915

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 0897690	A	24-02-1999	NONE		
US 5513636	A	07-05-1996	AU	3365595 A	07-03-1996
			EP	0774917 A	28-05-1997
			WO	9604841 A	22-02-1996
US 5279607	A	18-01-1994	CA	2106671 A	10-12-1993
			EP	0594647 A	04-05-1994
			WO	9221307 A	10-12-1992
			US	5395366 A	07-03-1995
US 4793825	A	27-12-1988	CA	1262847 A	14-11-1989
			DE	3570600 D	06-07-1989
			EP	0178769 A	23-04-1986
			JP	61072712 A	14-04-1986
US 5417663	A	23-05-1995	EP	0586740 A	16-03-1994
			DE	59207729 D	30-01-1997
			JP	6169991 A	21-06-1994
US 5876675	A	02-03-1999	US	6048498 A	11-04-2000

